

ADMIRAL INSURANCE COMPANY
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Austin, Texas 78759
Phone: 512-795-0766 Fax: 512-795-0833
<http://www.admiralins.com>

**MEDICAL TESTING LABORATORY
PROFESSIONAL LIABILITY APPLICATION
(CLAIMS MADE COVERAGE)**

1. **Full and Legal Name of applicant:** _____
(Include all dba's and subsidiaries seeking coverage under the policy for which you are applying.)
2. **Internet Address(es):** _____
3. **Mailing Address of Principal Office (Attach a schedule of all locations if more than one.)**

4. **List all states in which applicant operates and percentage of work in each state:** _____

5. **Does applicant have a location at a hospital or within another medical facility?** YES NO If Yes, please provide details: _____
6. **Applicant is a:** Individual LLC Corporation Partnership Joint Venture
Other (specify): _____
7. **Date Established:** _____ (mm/dd/yy)
8. **Has the name of the applicant ever changed or has there been any acquisition, consolidation, dissolution, merger or any other change in business organization during the past five (5) years?** YES NO If Yes, provide full details. _____

9. **During the next twelve (12) months, does the applicant contemplate offering any services not currently offered, or any mergers or acquisitions?** YES NO If Yes, please provide details. _____

10. **Professional Activities and Specialties (describe):** _____

11. **State approximate % of gross income derived from the following types of tests (total should be 100%):**

_____ % Alcohol/Drug Testing	_____ % HIV (AIDS)	_____ % X-Ray
_____ % CT/CAT	_____ % Immunology	_____ % Other _____
_____ % Cytology	_____ % MRI	_____
_____ % DNA	_____ % Occupational	_____
_____ % Fertility/Pregnancy/Paternity	_____ % PET/SPECT	100% Total
_____ % Hematology	_____ % STD's	
_____ % Hepatitis	_____ % Sonography	
_____ % Histology	_____ % Ultrasound	
12. **What is the total # of tests estimated for the next 12 months?** _____ **Last 12 months?** _____
13. **Specimen collection:**
 - a) **Samples collected by you or your employees at your lab site:** _____ %
 - b) **Samples collected by others and sent into or delivered to your lab site:** _____ %

*These should add up to 100%
14. **Does Applicant own (wholly or in part), operate, or administer any other type of facility, such as a hospital, nursing home, assisted living facility or other institution where medical services are customarily rendered?** Yes No
If Yes, please provide details. _____

15. State sources and amounts of TOTAL GROSS REVENUE/RECEIPTS:

<u>Source of Revenue</u>	<u>Estimated for Next 12 Months</u>	<u>Last 12 Months</u>
Charitable Contributions:	\$ _____	\$ _____
Government Funding:	\$ _____	\$ _____
Fee for Service:	\$ _____	\$ _____
Other _____	\$ _____	\$ _____
TOTAL GROSS REVENUE:	\$ _____	\$ _____

16. Staff:

	<u>Employees</u>	<u>Independent Contractors</u>	<u>Insured on Own Med Mal Policy? (Yes or No)</u>
A. Principals, Partners, Officers, Directors	_____	_____	_____
B. Physicians	_____	_____	_____
C. LPN/LVN	_____	_____	_____
D. Nurse Anesth.	_____	_____	_____
E. Nurses Aides	_____	_____	_____
F. Certified Lab Tech./Technologist.	_____	_____	_____
G. Certified Medical Assistant	_____	_____	_____
H. EEG/EKG Tech./Technologist	_____	_____	_____
I. X-Ray Tech./Technologist	_____	_____	_____
J. Phlebotomist	_____	_____	_____
K. Medical Tech./Technologist	_____	_____	_____
L. Radiation Therapist	_____	_____	_____
M. Inhalation Therapist	_____	_____	_____
N. Physicians Assistant	_____	_____	_____
O. Social Worker	_____	_____	_____
P. Clerical/Administrative	_____	_____	_____
Q. Other (specify): _____	_____	_____	_____
TOTAL STAFF:	_____	_____	_____

*Please attach copies of declarations pages for all of the above that carry their own insurance.

17. Are all of the above individuals licensed in accordance with all applicable state and federal regulations?
 Yes No If No, please provide details _____

a) Have any of the above individuals had their license/certification revoked/suspended, voluntarily surrendered or cancelled? Yes No If Yes, please provide details. _____

b) Have any of the above individuals been the subject of disciplinary or investigative proceedings or reprimanded by an administrative or governmental agency, hospital or professional association? Yes No If Yes, please provide details. _____

c) Have any of the above individuals been convicted of an act in violation of any law or ordinance other than a traffic accident? Yes No If Yes, please provide details. _____

18. Do you offer any of the following services? If Yes, please attach a detailed explanation.

- a. Therapy or any treatment procedures Yes No
- b. Blood banking or blood storage Yes No
- c. Procurement of blood or its components Yes No
- d. Plasmapheresis procedures Yes No
- g. Medical, Genetic or Drug research Yes No
- h. Manufacture, testing or dispensing of pharmaceuticals Yes No
- i. Manufacture or sell laboratory equipment or supplies Yes No
- j. Experimental testing or procedures Yes No
- k. Mobile services: If Yes, what percentage: _____% Yes No
- l. Any services at malls/shopping centers, health fairs etc. Yes No
- m. Intravenous transfusions Yes No

19. What hours/days a week do you operate: _____
20. Does applicant utilize a procedural and quality control manual? Yes No
If Yes, does applicant make sure that all employees have reviewed these? Yes No
21. Is lab inspected/certified/accredited by any governmental or medical association? Yes No
If Yes, which association? _____
22. Does applicant use a reference lab? Yes No
If Yes, please answer the following:
a. What % of your tests are sent to reference lab? _____
b. Name of reference lab: _____
c. Does reference lab hold applicant harmless? Yes No
d. Does applicant obtain written proof of insurance with minimum limit of \$1,000,000, for reference lab? Yes No
e. Does applicant require reference lab to name them as an additional insured and obtain proof of same? Yes No
23. Does applicant provide any service under contract? Yes No If Yes, please provide details and copy of contract. _____
24. Please list Professional Liability coverage for the last five years beginning with the most current coverage:
- | <u>Carrier</u> | <u>Limits</u> | <u>Deductible</u> | <u>Premium</u> | <u>Policy Term</u> | <u>Retro Date</u> |
|----------------|---------------|-------------------|----------------|--------------------|-------------------|
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25. Has any Professional Liability claim or suit been brought against the applicant or any of its employees?
 Yes No If Yes, please complete the Supplemental Claim Information Form attached to this application for each and every claim. Please attach five years of currently valued company loss runs.
26. Is the applicant aware of any circumstance which may result in any claim against them or their employees?
 Yes No If Yes, please provide full details including names of parties involved, dates and allegations.

27. Has any application for Professional Liability Insurance made on behalf of the applicant ever been declined or has their insurance been cancelled or renewal refused? Yes No If Yes, please provide details. _____

The applicant declares that the above statements and representations are true and correct and that no facts have been suppressed or misstated. The completion of this application does not bind the Company to sell no the applicant to purchase this insurance, but any subsequent contract issued will be in full reliance upon the statements and representations made in this application and this application will be made a part of the policy.

The applicant understands that any subsequent contract issued by the Company will be issued on a CLAIMS MADE FORM.

Signature of Applicant

Date

Please attach the following documents to this application:

- C.V. or Resumes on physicians and principals
- Five years of currently valued company loss runs
- Marketing or advertising brochures

SUPPLEMENTAL CLAIM INFORMATION FORM
(Complete one form for every claim)

1. Name of applicant/named insured: _____

2. Name of other parties or defendants named in suit: _____

3. Date of alleged error or occurrence, or contact date: _____
4. Date claim was made: _____
5. Name of claimant: _____
6. Name of Insurance Company handling your claim: _____
7. Present status of claim or final disposition: _____

Circle One: **CLOSED** **OPEN**
8. Defense costs paid to date inclusive of any deductible: _____
9. If closed, total loss paid, inclusive of any deductible: _____
10. If claim is open or pending, what are the insurers reserves?
 Defense: _____ Loss: _____
11. Description of case and events including allegations and assessment of liability: _____

12. Claimants last settlement demand: _____

_____ **Date**

_____ **Signature**